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# Measurement and modeling of the glass transition temperatures of multi-component solutions

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#### **Abstract**

Protein crystals are usually grown in multi-component aqueous solutions containing salts, buffers and other additives. To measure the X-ray diffraction data of the crystal, crystals are rapidly lowered to cryogenic temperatures. On flash cooling, ice frequently forms affecting the integrity of the sample. In order to eliminate this effect, substances called cryoprotectants are added to produce a glassy (vitrified) state rather than ice. Heretofore, the quantity of cryoprotectant needed to vitrify the sample has largely been established by trial and error. In this study, differential scanning calorimetry (DSC) was used to measure the melting  $(T_m)$ , devitrification  $(T_d)$  and glass transition  $(T_g)$  temperatures of solutions with a range of compositions typical of those used for growing protein crystals, with the addition of glycerol as cryoprotectant. The addition of cryoprotectant raises the  $T_g$  and lowers the  $T_m$  of bulk solution thereby decreasing the cooling rates required for vitrification of protein crystals. The theoretical  $T_g$ value was calculated using the apparent volume fraction using the Miller/Fox equation extended for multi-component systems. The experimental values of  $T_g$  were within approximately  $\pm 4\%$  of that predicted by the model. Thus, the use of the model holds the promise of a rational method for the theoretical determination of the composition of cryoprotectant requirement of protein crystallization solutions. © 2006 Elsevier B.V. All rights reserved.

*Keywords:* Glass transition temperature; Multi-component solutions; Cryogenic cooling of protein crystal

# **1. Introduction**

There are limited data for the glass transition temperatures, *T*g, of multi-component mixtures and few studies comparing experimental and predicted values of  $T<sub>g</sub>$  for such mixtures. The studies reported herein were undertaken in an attempt to increase available data for multi-component mixtures and to test the ability of multi-component models to predict glass transition temperatures. Differential scanning calorimetry (DSC) was used to measure the glass transition  $(T_g)$ , the melting  $(T_m)$  and the devitrification  $(T_d)$  temperatures of multi-component aqueous mixtures representative of those used in protein crystallization.

Protein crystals and their crystallizing solutions are 'flash cooled' to cryogenic temperatures for X-ray data collection in structure determination experiments. Data collection at cryogenic temperatures is necessary in order to reduce ionizing radiation damage due to X-rays [1–4]. Protein crystals are composed of large volume fractions of water with wide channels  $(\sim)10–100$  Å in diameter), which are filled with the crystallizing solution. When cooling the protein crystals to low temperatures for X-ray data measurement, ice can form and expand within the solvent channels and surrounding mother liquor. Ice disrupts the protein crystal lattice as well as giving rise to additional Bragg scattering. Most aqueous solutions require the addition of chemicals termed cryoprotectants in order to form glasses at practically attainable cooling rates (water has a reported glass transition temperature between 130 and 140 K [5–10]). Thus, cryoprotectants such as glycerol, p-sorbitol, 1-2-propanediol, dimethyl sulfoxide or cryosalts [11] are used to raise the glass transition temperature and depress the melting point. The cooling rates required for vitrification are t[hen](#page-8-0) [attain](#page-8-0)able using liquid or gaseous cryogens such as nitrogen or helium.

Cryoprotectants [are](#page-8-0) [a](#page-8-0)lso often used in lyophilization of biomolecules or food for preservation [12–14]. During lyophilization, water is sublimated causing an increase in the concentration of cryoprotectant and thereby an increase in  $T_g$ and a decrease in *T*m. As cryoprotectant concentration increases, a concentration at which  $T_g$  and  $T_m$  [are](#page-8-0) [approx](#page-8-0)imately equal (the

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<span id="page-1-0"></span>maximal freeze concentration) is reached. At these high concentrations, ice nucleation cannot occur. It is generally not possible to use these very high concentrations of cryoprotectant for protein crystal cryoprotection for diffraction studies since addition of cryoprotectant often increases protein solubility and leads to dissolution of the crystal. In contrast to lyophilization, flash cooling of protein crystals also requires that no significant water removal occurs during sample vitrification. Water is an integral part of the crystal lattice and with its removal, the protein crystal lattice collapses resulting in loss of Bragg diffraction.

The search for appropriate cryoprotectant composition for protein cryo-crystallography is often a trial and error procedure resulting in the sacrifice of protein crystals for unsuccessful trials. Often the number of available crystals for structural studies is quite limited with crystal growth times of days to months. Structural biological studies would be aided if crystal losses could be minimized in flash cooling trials by having initial attempts close to optimal conditions. However, any rational approach to cryoprotectant selection requires knowledge of the *T*<sup>g</sup> values of protein crystallization solutions.

Hampton crystal screens (Hampton Research) are widely used for crystallization of proteins. These screens consist of multi-component aqueous-based mixtures of buffers, salts, polymers (i.e. polyethylene glycol) and alcohols. These solutions largely represent the solvent in the protein crystal channels and the mother liquor surrounding the crystal.

For cryo-crystallography, crystals are mounted in a thin film of mother liquor held by surface tension in a fiber loop, commonly referred to as a cryoloop [15]. In our earlier studies [16], we determined the amount of glycerol (as cryoprotectant) required to successfully flash cool a selected set of Hampton screen solutions in nominal 1, 0.5 and 0.1 mm cryoloops (Table 1). It was found [that th](#page-8-0)e measured glass transition temperatures of cryoprotected solutions for the 1 mm loop fell into a narrow temperature range. Cryoprotection requirement also correlated with sample size, indicating that  $T<sub>g</sub>$  gives information about the target temperature to be reached in order to avoid ice formation.

Herein, we measured the glass transition of a selected set of Hampton crystal screen/glycerol mixtures and mother liquor–glycerol mixtures used for D-xylose isomerase crystals by DSC. Knowledge of the compositional dependency of  $T_g$  for the multi-component solutions used in protein crystallization can allow selection of cryoprotectant based on a set value for  $T_g$ .

# **2. Experimental**

#### *2.1. Materials*

The set of Hampton crystal screen I (Hampton Research, HR2-110) samples (sample number and composition are listed in Table 1) and solutions used for D-xylose isomerase crystals were mixed with glycerol (Fisher Scientific, G33-500) in 5%  $(v/v)$  increments. The D-xylose isomerase solutions were composed of an aqueous solution of 0.1 M Tris(hydroxymethyl) aminomethane buffer (Fisher Scientific, BP 154-1), pH 8.0 with  $10 \text{ mM } MgCl<sub>2</sub>$  (Fisher Scientific, M33-500) and an overall ammonium sulfate (Fisher Scientific, A702-3) concentration of 20% (w/v) in the solution/glycerol mixture. Twenty percent (w/w) ammonium sulfate was found to be immiscible in solutions of 55% (v/v) glycerol or greater. Because of its high viscosity, glycerol was heated to 343 K in a water bath and then pipetted with a positive displacement pipetter into the room temperature solution in 5% (v/v) increments.

Density was calculated by measuring the weight for a known volume (500–1000  $\mu$ l) of sample (five to six times each sample) on a four-place analytical balance. The average density of the Hampton screens is tabulated in Table 1.

Table 1

Minimum glycerol requirement for vitrification in commercially available cryoloops and measured room temperature density of the selected Hampton screen I samples (screen number is listed as #)

#	Salt	<b>Buffer</b>	Precipitant	Percent glycerol $(v/v)$ to vitrify nominal loop size			Density $(g/cm^3)$ average $\pm$ S.D.
				1.0 <sub>mm</sub>	$0.5 \,\mathrm{mm}$	$0.1 \,\mathrm{mm}$	
2	None	None	0.4 M Potassium Na tartrate	35	20	$\mathbf{0}$	$1.065 \pm 0.002$
3	None	None	$0.4 M$ Ammonium dihydrogen phosphate	40	25		$1.035 \pm 0.002$
4	None	$0.1$ M Tris-HCl <sup>a</sup> , pH 8.5	2 M Ammonium sulfate	25	10	$\Omega$	$1.145 \pm 0.002$
6	0.2 M MgCl <sub>2</sub>	$0.1$ M Tris-HCl <sup>a</sup> , pH 8.5	30% (w/v) PEG 4000	15	5	$\Omega$	$1.071 \pm 0.007$
	None	0.1 M Na cacodylate, pH 6.5	1.4 M Na acetate	25	5	$\Omega$	$1.073 \pm 0.004$
18	0.2 M Mg acetate	0.1 M Na cacodylate, pH 6.5	20% (w/v) PEG 8000	15	10	$\Omega$	$1.069 \pm 0.001$
25	None	0.1 M Imidazole, pH 6.5	1 M Na acetate	30	10	$\Omega$	$1.050 \pm 0.008$
32	None	None	2 M Ammonium sulfate	20	10	$\Omega$	$1.140 \pm 0.003$
34	None	$0.1 M$ Na acetate, pH 4.6	2 M Na formate	25	15	$\mathbf{0}$	$1.090 \pm 0.005$
35	None	$0.1 M$ Na HEPES <sup>b</sup> , pH 7.5	0.8 M Na dihydrogen phosphate, $0.8 M K$ dihydrogen phosphate	25	10	$\Omega$	$1.143 \pm 0.003$
36	None	$0.1$ M Tris-HCl <sup>a</sup> , pH 8.5	8% (w/v) PEG 8000	40	20	$\Omega$	$1.025 \pm 0.004$
37	None	$0.1 M$ Na acetate, pH 4.6	8% (w/v) PEG 4000	35	15	$\Omega$	$1.028 \pm 0.003$

<sup>a</sup> Tris(hydroxymethyl)aminomethane hydrochloride.

<sup>b</sup> 4-(2-Hydroxyethyl)piperazine-1-ethanesulfonic acid sodium salt.

#### <span id="page-2-0"></span>*2.2. Equipment and DSC methods*

The glass transition temperatures of the mixtures were measured using a Perkin Elmer Pyris 1 power-compensated DSC. The DSC was operated at sub-ambient temperatures, with nitrogen shield gas and high purity helium purge gas (99.995%) to prevent ice formation around the DSC cover and furnace. The Pyris 1 DSC is equipped with a Cryofill liquid nitrogen cooling system to obtain temperatures down to 113 K. The DSC temperature was calibrated using the solid–liquid and solid–solid phase transition of cyclohexane at 279.7 and 186.1 K [17] and of cyclopentane at 179.6 and 138.1 K [18].

The sample  $(3-10 \mu)$  for a detectable signal) was pipetted into a  $20 \mu l$  aluminum pan, covered and then sealed using a sample pan crimper press. The samples w[ere](#page-8-0) [we](#page-8-0)ighed with a four-place analytical balan[ce.](#page-8-0) [Th](#page-8-0)e DSC cooling rate was not rapid enough to produce vitrified samples needed in order to observe a glass transition. Because of this, samples were rapidly cooled by plunging the sealed sample pan in either liquid nitrogen or a solid/liquid nitrogen mixture. Most samples did not consistently vitrify in liquid nitrogen due to the Leidenfrost effect requiring solid/liquid nitrogen. The solid/liquid nitrogen mixture was prepared by evacuating a desiccator containing a Dewar of liquid nitrogen. Nitrogen evaporates under vacuum, leading to a temperature decrease and freezing of nitrogen. Vacuum was released, and the sample was rapidly plunged in the nitrogen solid/liquid mixture. The sample pan was then transferred to the pre-cooled DSC sample holder (113 K).

The cooled sample was held at the initial temperature in the DSC for 10 min and then heated from 113 to 298 K, using a heating rate of 5 K/min. The sample was then held for 5 min at the highest temperature. The glass transition temperature was obtained from the intersection of tangent lines from about 5 K below the change in baseline slope and about 2–3 K after the onset of the slope change (Fig. 1). Least square analysis was applied to the data points 5–10 K below and above the glass transition to obtain the equations for the tangent lines (inset shown in Fig. 1) and the intersection point calculated. The glass transition is often followed by devitrification (exothermic peak) where the sample crystallizes into the thermodynamically stable state. The devitrification temperature was obtained from the intersection of the baseline and the tangent of the exothermic peak and is listed in Tables 2 and 3. At higher temperatures the sample melts, producing a sharp endothermic peak. The melting temperature was determined by analyzing peak onset temperatures and is listed in Tables 2 and 3.

[For](#page-3-0) most of the samples a single measurement was performed for  $T_g$ . However, to assess the experimental variability in the reported  $T<sub>g</sub>$  values, repeated measurements were made for [Hampto](#page-3-0)n screen samples 2 and 4 containing 35% (v/v) and 25% (v/v) glycerol, respectively (four repeats for sample 2 and six repeats for sample 4). The standard deviations about the mean value of  $T_g$  were 0.5 K for sample 2 and 1.0 K for sample 4. The standard deviations about the mean values of  $T<sub>d</sub>$ , the devitrification temperature, and  $T<sub>m</sub>$ , the melting temperature, were 0.7 and 0.9 K for sample 2 and 0.7 and 1.0 K for sample 4, respectively.



Fig. 1. Analysis of heat flow vs. temperature data to calculate  $T_g$ ,  $T_d$  and  $T_m$ for Hampton screen I solution #4, with 25% glycerol (v/v). Endothermic events produce peaks above the baseline and exothermic events produce peaks below the baseline. Glass transition,  $T_g$ , is followed by an exothermic devitrification peak,  $T_d$ , at about 163.2 K and an endothermic peak,  $T_m$ , at 241.4 K.  $T_g$  was determined by calculating the intersection of tangent lines of the baseline before and after the slope change of heat flow vs. temperature (see inset).

## **3. Theory and**  $T_g$  **calculation**

There are a number of semi-empirical and theoretically derived models for the compositional dependency of  $T<sub>g</sub>$  for multi-component systems. The Fox equation [19] is an example of an empirical equation that has been extended for multicomponent systems (Eq. (1)). The Fox equation is found to predict glass transition of multi-component plasticizer–polymer mixtures well [20].

$$
\frac{1}{T_g} = \frac{w_1}{T_{g_1}} + \frac{w_2}{T_{g_2}} \dots + \frac{w_n}{T_{g_n}}
$$
(1)

[Miller](#page-8-0) et al. [21] derived a general relationship between  $T_g$ of a non-ideal binary or multi-component solution and its composition. In their derivation, the transition of glass to liquid is qualitatively described as the disintegration of a network of free volu[me.](#page-8-0) [Th](#page-8-0)e free volume in the liquid is viewed as a continuous network of 'lakes and channels'. As temperature decreases this free volume decreases until it reaches a critical level at  $T_g$  where the network disintegrates. Using percolation theory, the authors derived a quantitative relationship between  $T_g$  and composition for a multi-component solution:

$$
\frac{1}{T_{\rm g}} = \sum_{i=1}^{n} \frac{\varphi_i}{T_{\rm g_1}} + \frac{1}{0.19} \varphi^{\rm E} \left\langle \alpha^{\rm E} \right\rangle \tag{2}
$$

where  $\varphi_i$  is the apparent volume fraction of component *i*  $(\varphi_i = x_i V_i/V)$ ,  $x_i$  the mole fraction of component *i*,  $V_i$  the molar volume of pure component *i* and *V* the molar volume of the mixture at the glass transition temperature of the mixture;  $T_{gi}$ is the glass transition temperature of pure component *i*,  $\langle \alpha^{\vec{E}} \rangle$ the excess thermal expansion coefficient and  $\varphi^E = V^E/V$ , is the excess volume fraction  $(V^E)$  is the excess molar volume of the

Table 2 Glass transition  $(T_g)$ , devitrification  $(T_d)$  and melting  $(T_m)$  temperatures of Hampton screen I solutions for varying glycerol concentrations

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Glass transition  $(T_g)$ , devitrification  $(T_d)$  and melting  $(T_m)$  temperatures of mother liquor used for p-xylose isomerase crystals for varying glycerol concentrations

#	Percent glycerol (v/v)	$T_{\rm g}$ (K)	$T_{d}$ (K)	$T_{\rm m}$ (K)
$\boldsymbol{2}$	35	157.4	170.8	243.5
	40	158.4	166.1	241.3
	60	167.7		
3	35	157.0	163.9	245.1
	40	157.0	167.9	243.3
	45	157.9	172.1	241.3
$\overline{4}$	25	156.8	163.2	241.4
	30	157.9	166.0	240.3
	35	159.2	182.1	234.5
	40	163.8	198.1	228.6
	50 <sup>a</sup>	161.3		
	60 <sup>a</sup>	173.1		
	70 <sup>a</sup>	171.8		
	80 <sup>a</sup>	180.8		
6	10	157.4	168.1	252.2
	15	157.7	170.5	249.6
	20	158.3	178.7	246.1
7	25	160.2	169.2	247.4
	30	161.0	171.6	243.1
18	15	158.2	167.8	251.4
	20	157.6	168.2	250.6
	25	158.3	172.5	245.8
	30	158.7	181.7	241.0
25	30	157.8	166.5	246.0
	35	159.5	171.1	243.0
32	25	157.1	162.3	243.0
	30	156.9	164.6	238.7
	40	161.1	200.8	218.7
	45 <sup>a</sup>	162.1		
	70 <sup>a</sup>	178.6		
	80 <sup>a</sup>	180.7		
34	25	157.5	166.9	245.0
	30	160.6	168.7	242.7
	40	161.4	191.6	231.2
	45 <sup>a</sup>	166.1		
35	25	156.6	168.0	245.9
	30	159.5	169.0	243.9
36	30	152.9	163.2	249.7
	35	156.3	165.6	245.2
	40	159.4	178.7	242.5
	45 <sup>a</sup>	159.4	191.9	235.7
	50 <sup>a</sup>	163.0		
37	35	156.7	166.0	242.5
	40	158.1	177.5	241.6

<sup>&</sup>lt;sup>a</sup> Where no data appears for  $T<sub>d</sub>$  and  $T<sub>m</sub>$ , devitrification and melting transitions were not observed in DSC data.

mixture). The Fox equation can be derived from Miller's expression for the special case where excess volume can be neglected and the ratios of the pure components to the mixture density are approximately equal at all temperatures (see Appendix A). Miller's equation (Eq. (2)) was used to predict the  $T_g$  for mixtures of sodium chloride, trehalose and water (neglecting the excess terms of mixing), providing a reasonable prediction of *T*<sup>g</sup> [21].



<sup>a</sup> Where no data appears for  $T<sub>d</sub>$  and  $T<sub>m</sub>$ , devitrification and melting transitions were not observed in DSC data.

 $<sup>b</sup> T<sub>d</sub>$  was not observed in DSC data for this sample, this may be due to slow</sup> relaxation into crystalline state for the DSC to show a signal.

To apply Eq. (2) to a multi-component system,  $T_{\rm g}$  of the pure components must be known in addition to volumetric data as a function of temperature for the pure species and mixture. The last term, containing mixture properties (excess properties) is typic[ally](#page-2-0) [s](#page-2-0)mall compared to the other terms and can often be neglected. This allows calculation of mixture  $T_g$  with only pure component properties. For a binary system the molar volume of the mixture is given by Eq. (3):

$$
V = x_1 V_1 + x_2 V_2 + V^E
$$
 (3)

Neglecting the excess volume term, volumetric data can be used to calculate  $\varphi_i$  for every component at the glass transition temperature. Volumetric data of pure components is often limited, and the temperature dependency of this data can be estimated by linearly extrapolating volumetric data for two different temperatures. Eq. (2) is transformed into an objective function, *F*(*T*) and  $T_g$  is calculated using  $F(T_g) = 0$  [21].

$$
F(T) = \frac{1}{T} - \sum_{i=1}^{n} \frac{\varphi_i}{T_{g_1}}
$$
(4)

If the ratio of density variation with temperature can be assumed to be constant, Eq. (2) can be written as Eq. (5), henceforth known as Miller/Fox equation (see Appendix A).

$$
\frac{1}{T_g} = \frac{m_1}{m_t T_{g_1}(\rho_1(T_g)/\rho_t(T_g))} + \frac{m_2}{m_t T_{g_2}(\rho_2(T_g)/\rho_t(T_g))} + \frac{m_3}{m_t T_{g_3}(\rho_3(T_g)/\rho_t(T_g))} + \frac{m_4}{m_t T_{g_4}(\rho_4(T_g)/\rho_t(T_g))}
$$
(5)

The Fox Eq. (1), Miller's Eq. (2) and the Miller/Fox Eq. (5) were compared to literature values for glycerol–water systems neglecting the excess molar volume of mixing (Fig. 2). All equations require  $T<sub>g</sub>$  of the pure components. The glass transition temp[eratu](#page-2-0)re of glycer[ol,](#page-2-0) [me](#page-2-0)asured using DSC, was found to be 186 K in agreement [22–24] or in close agreement with reported values (187 K [25] and 190 K [26,2[7\]\). A va](#page-4-0)lue of 138 K is used for  $T_g$  of water [5]. For volumetric data required for application of Miller equation (Eq. (2)), the density of supercooled water between [239 and 2](#page-8-0)63 K was linearly extrapolated to low temper[atures](#page-8-0) using da[ta by Ha](#page-8-0)re and Sorenson [28]. Variation of mol[ar vo](#page-8-0)lume with temperature of glycerol was obtained from

<span id="page-3-0"></span>

<span id="page-4-0"></span>



Fig. 2.  $T_g$  of glycerol–water solutions with data from various authors. Lines represent the Fox equation ( $\_\_\$ ), Miller/Fox's equation ( $\_\$ ) and Miller's equation  $(- - -).$ 

Huck et al. [29]. Volumetric data for mixture was calculated using Eq.  $(3)$ .

For the Miller/Fox equation, the ratio of density of glycerol [29] and water [30] was calculated at 10 °C temperature intervals o[ver a t](#page-8-0)emperature range from −30 to 30 ◦C yielding an aver[age](#page-3-0) value of 1.0049 and 0.9952  $g/cm<sup>3</sup>$  with a standard deviation of 0.004 and 0.5%, respectively. These ratios were assumed to rem[ain co](#page-8-0)nstant down to the glass transition temperature of the mixture, eliminating the necessity of extrapolating volumetric data.

There is a fairly wide deviation in reported  $T_g$ s for water–glycerol mixtures as illustrated in Fig. 2. This wide deviation may be due to differences in glycerol source, method of measurement or the heating rate in DSC or DTA studies.  $T_{\rm g}$ of pure glycerol as measured by Luyet and Rasmussen [27] by DTA using the same heating rate of  $5^{\circ}$ C/min shows a difference of 4 K. Murthy [22] reported a  $T<sub>g</sub>$  of 186 K measured at 10 °C/min, whereas Harran [31] reported a  $T<sub>g</sub>$  of 196 K measured at  $20^{\circ}$ C/min using DSC. All the equat[ions](#page-8-0) [\(](#page-8-0)Eqs. (1), (2) and (5)) give reasonable predictions of the reported  $T_g$ s although the Miller/[Fox](#page-8-0) [e](#page-8-0)quation appears to provide an estimate of  $T_{\rm g}$ , approximately mi[d-way](#page-8-0) through the data sets. Eqs. (1), (2) and (5) were used for calculation and compariso[n of](#page-2-0)  $T<sub>g</sub>$  $T<sub>g</sub>$  values for Hampton crystal screen I, #4, #34 and #36 solutions to assess their utility for our multi-component solutions.

# **4. Results and discussion**

As the temperature of the sample increases above the glass transition, the molecular mobility increases, often resulting in a crystallization event where components form a more stable state. This transition results in an exothermic peak (Fig. 1). The temperature of this transition is referred to as the devitrification temperature,  $T<sub>d</sub>$ . On heating to the melting temperature, *T*m, an endothermic peak is then observed. For those samples that devitrified,  $T_d$  and  $T_m$  were deter[mined f](#page-2-0)rom the [onse](#page-8-0)t

Fig. 3. Variation of  $(T_m - T_g)$  as a function of  $T_g$ . As cryoprotectant concentration increases,  $T_g$  increases and  $T_m$  decreases narrowing the temperature range for possible ice nucleation. The Hampton screen # is indicated in the legend box. Compositions of the screens can be found in Table 1.

of the endothermic and exothermic peaks in the DSC thermograms. The  $T_g$ ,  $T_d$  and  $T_m$  [of a sa](#page-1-0)mpling of screen and D-xylose isomerase solutions with variable amounts of added glycerol are listed in Tables 2 and 3.  $T_d$  and  $T_m$  were not observed for samples containing greater than 40–45% (v/v) glycerol. In these samples, a direct transition from a glassy to liquid state occurs. This can be attributed to the very high viscosity of these sol[utions.](#page-3-0)

In general, as glycerol concentration increases,  $T<sub>g</sub>$  increases and *T*<sup>m</sup> decreases. Thus, the cryoprotectant glycerol narrows the temperature window for ice formation through these favorable changes in both  $T_g$  and  $T_m$ . This narrowing of  $(T_m - T_g)$  with increasing  $T<sub>g</sub>$  is more clearly seen graphically in Fig. 3.

Solution composition can be adjusted to raise  $T_{\rm g}$  (and lower *T*m) to a target value by addition of cryoprotectant. In order to predict compositional requirements for a selected value of  $T_g$ , use of predictive models for  $T<sub>g</sub>$  such as those outlined in the previous section may be helpful. In order to ascertain the utility of multi-component models in estimating  $T_g$  the Fox equation (Eq. (1)), Miller's equation (Eq. (2)) and Miller/Fox's equation (Eq. (5)) were used to calculate  $T_g$  for screens #4, #34, #36 solutions with added glycerol.

Eqs. (1), (2) and (5) require  $T<sub>g</sub>$  of all pure components in the solution.  $T_g$  $T_g$  of water a[nd](#page-2-0) glycerol were available from literature sources as outlined in Section 3. It is not possible to measure the  $T_g$  of pure salts, whereas  $T_m$  is easily measured by DSC for [salts](#page-2-0) [t](#page-2-0)hat [do](#page-3-0) [n](#page-3-0)ot decompose on heating. We were also unable to vitrify pure PEG 4000 and PEG 8000. Hence, a hypothetical estimate of  $T<sub>g</sub>$  was [use](#page-2-0)d for salts and PEG as follows: Kanno [32] derived a constant value of 2/3 for the ratio of  $T_g/T_m$  using Lindemann's interpretation of melting [33]. Simha and Boyer [34] derived this same ratio based on a free volume approach for polymer systems. Angell et al. [7], have reported  $T_g/T_m$  values for aqueous electrolyte solutions close to this 2/3 value as

<span id="page-5-0"></span>



<sup>a</sup> Tris(hydroxymethyl) aminomethane hydrochloride.

<sup>b</sup> 4-(2-Hydroxyethyl)piperazine-1-ethanesulfonic acid sodium salt.

<sup>c</sup> Densities measured by displacement with mineral oil as described in Section 4.

have Sakka and Mackenzie [35] for inorganic glasses. Similarly, Murthy and Nayak [36] have reported the values [of](#page-4-0)  $T_g/T_m$ for various organic liquids that fall close to 2/3. In application of the Fox and Miller/Fox equations to our data,  $T<sub>g</sub>$  values of salts and PEG wer[e estim](#page-8-0)ated using the  $2/3$  ratio for  $T_g/T_m$  and the melting p[oints o](#page-8-0)f the pure components as listed in Table 4. The  $T_m$  of salts in screen #34 (sodium formate and sodium acetate) were available in the literature [30], whereas the  $T<sub>m</sub>$ values for PEG 8000 and the buffer salt Tris–HCl used in screen #36 were measured by DSC (after calibration using indium and zinc).

The Miller equation (Eq.(2)) also requires volumetric data for individual components and the mixture as a function of temperature. The excess volume terms were neglected and volumetric data was estimated from that of water and glycerol as outlined for water–glycer[ol m](#page-2-0)ixtures in Section 3. The molar volumes of PEG 8000 and the salts, sodium formate, sodium acetate and Tris–HCl were held constant at their room temperature values

Table 5

Comparison of  $T_g$  predicted with the Fox equation, Miller/Fox equation and Miller equation with experimentally measured  $T_g$  for Hampton screen I, solution #4, #34 and #36

$\#$	Percent glycerol $(v/v)$	Experimental $T_{\rm g}^{\rm exp}$ (K)	Fox equation $T_{\rm g}^{\rm model}$ (K)	Miller/Fox equation $T_{\rm g}^{\rm model}$ (K)	Miller equation $T_{\rm g}^{\rm model}$ (K)
$\overline{4}$	25	156.8	168.2	159.7	158.0
	30	157.9	169.3	161.1	159.4
	35	159.2	170.5	162.6	160.9
	40	161.2	171.6	164.2	162.4
	50	161.3	173.9	167.3	165.6
	60	171.0	176.3	170.7	169.1
	70	171.8	178.7	174.2	172.9
	80	180.8	181.1	177.9	176.9
34	25	157.5	158.9	152.7	151.3
	30	160.6	160.6	154.5	153.0
	40	161.4	164.0	158.2	156.5
	45	166.1	165.7	160.1	158.4
36	30	152.9	155.6	152.8	151.2
	35	156.3	157.6	154.7	153.0
	40	159.4	159.7	156.7	154.9
	45	159.4	161.8	158.8	156.9
	50	163.0	163.9	160.9	158.9

The Fox equation and Miller/Fox equation yield a closer approximation of *T*<sub>g</sub> over the measured range of glycerol concentration compared to the Miller equation.

<span id="page-6-0"></span>



<sup>a</sup> Percent difference defined as  $((T_g^{\text{exp}} - T_g^{\text{model}})/T_g^{\text{exp}}) \times 100$ .

Table 7

Deviation from experimental value for cryoprotectant solutions for D-xylose isomerase crystals



<sup>a</sup> Percent difference defined as  $((T_g^{\text{exp}} - T_g^{\text{model}})/T_g^{\text{exp}}) \times 100$ .

<span id="page-7-0"></span>of 5988.0, 35.42, 56.61 and  $126.87 \text{ cm}^3/\text{mol}$ , respectively. The densities of Tris–HCl and PEG 8000 were experimentally determined by measuring displacement of a known weight of their powder with a known volume of light mineral oil. The accuracy of this method of measurement was assessed by measuring the density of ammonium sulfate as  $1.7647$  g/cm<sup>3</sup>, which is very close to the reported value  $1.77$  g/cm<sup>3</sup> [30].

For the Miller/Fox equation (Eq. (5)), the ratio of pure component and mixture densities were calculated at room temperature and the volume changes of mixing were assumed to be negligible. It was further assu[med th](#page-8-0)at the ratio of densities was constant with temperatu[re.](#page-3-0)

The predicted values of  $T_g$  using Eqs. (1), (2) and (5) were compared to the experimentally measured  $T<sub>g</sub>$  values for screen #4, #34, #36 (Table 5). The Fox equation produced  $T_g$  values that more closely approximated the experimentally determined  $T_{\rm g}$ s than did Miller's equation. The [Fox equ](#page-2-0)ati[on pr](#page-3-0)edicted  $T_{\rm g}$  value[s](#page-3-0) within 3 K of the experimentally measured  $T_{\rm g}$ s for screens #3[4](#page-5-0) [and](#page-5-0) [36](#page-5-0) and in general predicted higher  $T_g$ s than the experimental values. The  $T<sub>g</sub>$  predicted by Fox equation for #4, #32 and D-xylose isomerase solutions deviated from actual  $T<sub>g</sub>$  by up to ∼11 K for some mixtures. This is largely due to the very high salt concentration (∼12–18% (w/w)) in screen 4 solutions containing less than 50%  $(v/v)$  glycerol. Both the Fox  $(Eq. (1))$ and the Miller/Fox (Eq.  $(5)$ ) equations estimated  $T_{\rm g}$ s closer to the experimental values than did the Miller equation. The Miller equation predicted  $T_{\rm g}$ s that were in general 2–8 K lower than measured values. The magnitude of deviations f[rom](#page-2-0) the actual  $T_{\rm g}$  may be due [in](#page-3-0) [p](#page-3-0)art to errors in our estimate of the volumetric properties of pure components and mixture data and elimination of the excess volume term in our application of Miller's equation. On average the Miller/Fox equation estimated  $T_g$  values within 3 K of measured values for screens 4 and 36, whereas  $T_g$ values were within 3–6 K of measured values for #34.

Since the Miller/Fox equations yielded reasonable estimates of  $T_g$  for Hampton screen #4, #34 and #36,  $T_g$  of the remaining Hampton screens and D-xylose isomerase crystallization solutions (high weight fraction of salt ∼18%) were calculated using this model (Tables 6 and 7). Due to its simple form, the Fox equation was also used to estimate  $T<sub>g</sub>$  of the remaining solutions. The  $T_g$  for salts and PEG were estimated from  $T_m$ as described previously. However, the salts ammonium sulfate, potassi[um](#page-6-0) [sodium](#page-6-0) [tartra](#page-6-0)te, sodium dihydrogen phosphate, and sodium HEPES decompose upon heating making measurement of *T*<sup>m</sup> for these salts by DSC impossible. The decomposition temperature was used as an estimate of  $T<sub>m</sub>$  in these cases. Density of the remaining salts for the screen solutions were obtained from the literature (Table 4) or measured as described for Tris–HCl and PEG.

The  $T_g$  values obtained from the Fox and Miller/Fox equations are compared with the experimental values in Tab[les 6 and 7](#page-5-0). The percent difference between experimental and calculated  $T_g$ s (calculated from the ratio of experimental minus model values to the experimental values) were less than  $\pm 4.2\%$ or 6.6 K for the Miller/Fox equation and less than  $\pm 12.6\%$  or [12.6 K](#page-6-0) for the Fox equation. In general, the Fox equation and the Miller equation both performed well with low salt (less than  $\sim$ 12 wt%) solutions and solutions with PEG. For higher salt solutions, particularly those containing a high weight fraction of ammonium sulfate (screens 4, 32 and the xylose isomerase solutions), the Miller/Fox equation produced much better estimates than the Fox equation. For other screens with 12 wt% salt or greater (#35 with sodium and potassium dihydrogen phosphate) or high PEG (#6 with 30% (w/v) PEG 4000), the Miller/Fox equation again produced better estimates than the Fox equation.

# **5. Conclusions**

The  $T_g$  of multi-component mixtures of water, glycerol, salts and PEG can be estimated using the relatively simple Fox model (Eq. (5)) for low salt solutions (less than  $\sim$ 12 wt%) or the Miller/Fox equation for higher weight fractions of salt. The Fox equation allows calculation of  $T_g$  as a function of the weight fraction and  $T_g$  values of the pure components. For the Miller/Fox equation  $T_g$  is calculated as a function of apparent volume fraction and requires the densities of pure components at a reference temperature (i.e. room temperature). Glass transition temperatures for components such as salts that do not easily form glasses, can be estimated from the more experimentally accessible melting temperature by assuming the ratio  $T_g/T_m$  is equal to 2/3. For those compounds that decompose upon heating, the decomposition temperature can be used in place of the melting temperature in calculation of  $T_g$ .

Comparison of experimental data with model predictions demonstrates utility of this approach in estimating of  $T_g$  for cryoprotected protein crystallization solutions. Components of a solution with  $T_{\rm g}$ s significantly above that of water will afford cryoprotection. These components can include buffers and salts. Use of the Fox and Miller/Fox equations allow formulation of cryoprotected solutions using a variety of chemical constituents.

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# **Appendix A**

Neglecting excess properties, Eq.  $(2)$  for a quaternary mixture can be written as, where  $T_g$  is a function of volume fraction and pure component glass transition temperature only:

$$
\frac{1}{T_g} = \frac{\varphi_1}{T_{g_1}} + \frac{\varphi_2}{T_{g_2}} + \frac{\varphi_3}{T_{g_3}} + \frac{\varphi_4}{T_{g_4}}
$$

Substituting for  $\varphi_i$ ,

$$
\frac{1}{T_{g}} = \frac{x_1 V_1}{V T_{g_1}} + \frac{x_2 V_2}{V T_{g_2}} + \frac{x_3 V_3}{V T_{g_3}} + \frac{x_4 V_4}{V T_{g_4}}
$$

Substituting,

$$
x_i = \frac{n_i}{n_\text{t}}; \quad V_i = \frac{v_i}{n_i}; \quad V = \frac{v_\text{t}}{n_\text{t}}
$$

<span id="page-8-0"></span>where  $n_i$  is moles of component *i*,  $n_t$  total moles in the mixture,  $v_i$  volume of component *i* and  $v_t$  the total volume of the mixture.

$$
\frac{1}{T_g} = \frac{v_1}{v_t T_{g_1}} + \frac{v_2}{v_t T_{g_2}} + \frac{v_3}{v_t T_{g_3}} + \frac{v_4}{v_t T_{g_4}}
$$

Substituting,

$$
v_i = \frac{m_i}{\rho_i}; \quad v_t = \frac{m_t}{\rho_t}
$$

where,  $m_i$  is mass of component *i* and  $\rho_i$  density of pure component *i*;  $m_t$  is the total mass of mixture and  $\rho_t$  the density of the mixture.

$$
\frac{1}{T_g} = \frac{m_1}{m_t T_{g_1}(\rho_1(T_g)/\rho_t(T_g))} + \frac{m_2}{m_t T_{g_2}(\rho_2(T_g)/\rho_t(T_g))} + \frac{m_3}{m_t T_{g_3}(\rho_3(T_g)/\rho_t(T_g))} + \frac{m_4}{m_t T_{g_4}(\rho_4(T_g)/\rho_t(T_g))}
$$

Assuming that density of all components and the mixture are approximately equal at all temperatures, the above equation takes the form of the Fox [19] equation extended for multicomponent systems

$$
\frac{1}{T_g} = \frac{w_1}{T_{g_1}} + \frac{w_2}{T_{g_2}} + \frac{w_3}{T_{g_3}} + \frac{w_4}{T_{g_4}}
$$
(A.1)

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